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|--|-------------|----------------------|---------------------|------------------|
| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 10/700,031   | 11/03/2003  | Mehmet Hancer        | 169.12-0621 3406    |                  |
| 164 7590 02/07/2008 KINNEY & LANGE, P.A. THE KINNEY & LANGE BUILDING 312 SOUTH THIRD STREET MINNEAPOLIS, MN 55415-1002 |             | EXAMINER             |                     |                  |
|  |             |                      | DAVIS, DAVID DONALD |                  |
|  |             |                      | ART UNIT            | PAPER NUMBER     |
|  |             |                      | 2627                | •                |
|  |             |                      |                     |                  |
|  |             | •                    | MAIL DATE           | DELIVERY MODE    |
| •  |             | •                    | 02/07/2008          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|  | Application No.   | Applicant(s)          |  |  |  |
|--|---|-----------------------|--|--|--|
|  | 10/700,031  | HANCER ET AL.         |  |  |  |
| Office Action Summary  | Examiner  | Art Unit              |  |  |  |
|  | David D. Davis  | 2627                  |  |  |  |
| The MAILING DATE of this communication app   | ears on the cover sheet with the c  | orrespondence address |  |  |  |
| Period for Reply   |   |                       |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                       |  |  |  |
| Status   |   |                       |  |  |  |
| 1) Responsive to communication(s) filed on 04 O  | Responsive to communication(s) filed on <u>04 October 2007</u> .  |                       |  |  |  |
| ·—   | ,—  |                       |  |  |  |
|  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is |                       |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |   |                       |  |  |  |
| Disposition of Claims  |   |                       |  |  |  |
| 4)⊠ Claim(s) <u>1-21</u> is/are pending in the application.  |   |                       |  |  |  |
| 4a) Of the above claim(s) 15-21 is/are withdrawn from consideration.   |   |                       |  |  |  |
| 5) Claim(s) is/are allowed.  |   |                       |  |  |  |
| 6)⊠ Claim(s) <u>1,2,5-8 and 11-14</u> is/are rejected.   |   |                       |  |  |  |
| 7) Claim(s) <u>3-4 and 9-10</u> is/are objected to.  |   |                       |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.  |   |                       |  |  |  |
| Application Papers   |   |                       |  |  |  |
| 9) ☐ The specification is objected to by the Examiner.   |   |                       |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.  |   |                       |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |                       |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |                       |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |                       |  |  |  |
| Priority under 35 U.S.C. § 119   |   |                       |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |   |                       |  |  |  |
| a) All b) Some * c) None of:   |   |                       |  |  |  |
| 1. Certified copies of the priority documents have been received.  |   |                       |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No   |   |                       |  |  |  |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage  |   |                       |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  |   |                       |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |   |                       |  |  |  |
|  |   |                       |  |  |  |
|  |   |                       |  |  |  |
| Attachment(s)  |   |                       |  |  |  |
| 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date   |   |                       |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date   | 5) Notice of Informal F 6) Other:   |                       |  |  |  |

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## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1, 2, 5-8 and 11-14 are rejected under 35 U.S.C. 102(b) as being anticipated by Ogawa et al (US 5,425,988). Ogawa et al shows in figures 2 and 3, for example, an encapsulant 12 including a self assembled monolayer with a self limiting thickness of one layer of a molecule covering a surface of a component such as a slider. The monolayer is composed of an organosilane having a thickness in the range of 10 angstroms to about 40 angstroms. See column 8, lines 62-64 of Ogawa et al.

## Allowable Subject Matter

3. Claims 3-4 and 9-10 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

## Response to Arguments

4. Applicant's arguments with respect to the claims have been considered but are moot in view of the new ground(s) of rejection.

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## Conclusion

5. THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to David D. Davis whose telephone number is 571-272-7572. The examiner can normally be reached on Monday thru Friday between 7:30-4:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wayne R. Young can be reached on 571-272-7582. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/David D. Davis/ Primary Examiner Art Unit 2627

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